

# **XENTRALL AUDIT PLAN 2012/13 – PROGRESS REPORT FROM STOCKTON BOROUGH COUNCIL'S INTERNAL AUDIT SECTION**

## **Purpose of Report**

1. To provide Darlington Borough Council's Audit Committee with a progress report against the 2012/2013 Xentrall Audit Plan as at 30 September 2012.

## **Information and Analysis**

2. Stockton Borough Council's Annual Audit Plan for 2012/13 is risk based and was approved by Stockton Borough Council's Audit Committee on 27<sup>th</sup> February 2012. The relevant Xentrall element of the Plan was reported to Darlington Borough Council's Audit Committee in March 2012.
3. The range of audit work to be carried out on the partnership was developed following consultation with the Xentrall Management Board, Audit Services at Darlington Borough Council and the External Auditors of both councils. The detailed scope of each audit assignment is agreed by Stockton Borough Council Internal Audit and Xentrall management prior to the commencement of audit work.
4. Appendix A identifies the completed audits in this period and the work currently in progress.

**Stephen Winship  
Financial Planning & Audit Manager  
Stockton Borough Council**

Stephen Winship (01642) 526366

Audits Presented

Department	Audit Assignment	Assurance Opinion	Where Assurance Opinion Less Than Substantial	
			Audit Comment	Management Response
Xentrall	ICT Change Control	Full Assurance		
	Income	Full Assurance		

Audit Work In Progress

Department	Audit Assignment	Assurance Opinion	Where Assurance Opinion Less Than Substantial	
			Audit Comment	Management Response
Xentrall	HR - Recruitment			
	Agresso			

Opinion Scoring Methodology

Assurance	Definition
<b>FULL ASSURANCE</b>	A sound system of controls is being applied consistently 91 -100% assurance on testing results
<b>SUBSTANTIAL ASSURANCE</b>	Overall, there is a sound system of internal controls, however, the implementation of the agreed recommendations would further strengthen these controls 71 – 90% assurance on testing results
<b>LIMITED ASSURANCE</b>	The system of controls is weak and (either) is not being complied with in some significant areas, or does not cover all areas. 41 – 70% assurance on testing results
<b>NO ASSURANCE</b>	The system of controls is failing and in need of urgent management attention. 0 – 40% assurance on testing results