

Appendix B

2017-2018	2018-2019	2019-2020	2020-2021	2021-2022
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Provisions

Advice and Guidance	50	50	50	50	50
Auditee Liaison and Planning - Not Audit Specific	20	20	20	20	20
Audit Recommendations Follow Up	40	40	40	40	40
Schools Contingency	15	15	15	15	15
Continuous Auditing Contingency	25	25	25	25	25
ICT Individual Systems	66	66	66	66	66
Procurement/Contract Management	62	62	62	62	62
Risk Management	35	35	35	35	35

Detailed Audit Work

Emergency Duty Team	3	3	3	3	3
First Contact Unit	3	3	3	3	3
Tees Valley Music Service	0	0	10	0	0
Child Placement - Adoption	0	7	7	7	7
Looked After Children	12	12	12	12	12
Children's Anti-Social Behaviour and Preventions	8	8	8	8	8
Planning School Places & School Asset Management	0	0	15	0	0
Early Years & Complex Needs	8	8	8	8	8
Early Years, Children's Centres and Childcare	0	8	8	8	8
Education Improvement Service	0	0	15	0	0
Planning & Partnerships Team	15	0	0	0	15
Leaving Care	7	7	7	7	7
Safeguarding Children	15	0	15	0	15
Referral & Assessment	15	15	15	15	15
Troubled Families Initiative	16	16	16	16	16
Section 17 Payments	4	4	4	4	4
Harewood Hill Lodge	0	5	0	0	0
Children's Secure Accommodation	0	0	0	10	0
PFI	0	0	0	4	4
Organisational Planning Unit	0	0	0	10	0
Business Continuity & Disaster Recovery	10	10	10	10	10
Anti-Fraud Management	35	35	35	35	35
Asset Register/Asset Management	7	7	7	7	7
Financial Management	5	5	5	5	5
Information Management	10	10	10	10	10
Performance Management Framework	15	15	15	15	15
Taxation	12	12	12	12	12
Housing Benefits	18	18	18	18	18
Treasury Management	6	6	6	6	6
Council Plans	5	5	5	5	5

Health & Safety	0	0	15	0	0
Insurance	0	0	15	0	0
Employee Protection	0	0	10	0	0
Cash Offices & Cash Holdings	25	0	0	25	0
VAT	6	6	6	6	6
Property Management	0	30	0	30	0
Welfare Rights	7	0	0	0	7
Environmental Information Regulations (EIR)	5	0	0	5	0
Freedom of Information	15	0	0	15	0
Data Protection	10	0	10	0	10
Complaints Review	0	9	9	9	9
Officer's Travel & Subsistence	10	0	0	0	10
Stockton Collections	5	0	5	0	5
Inventories	0	10	0	0	0
Partnership Arrangements	0	0	0	10	0
Mayor's Charity Fund	2	2	2	2	2
Building Control	7	7	7	7	7
Development Services	0	8	8	8	8
Business Support & Development	25	0	0	0	25
Highways Inspection	7	7	7	7	7
Highways Maintenance Management	10	10	10	10	10
Housing Options	0	10	0	10	0
Traffic Management & Road Safety	0	0	15	0	0
Markets Management	0	0	25	0	0
Private Sector Housing	10	0	0	10	0
Home Ownership Assistance	0	0	10	0	0
Flooding Risk Management	5	5	5	5	5
Street Lighting	0	15	0	0	15
Local Development Plan	0	0	0	10	0
Car Parking	0	10	0	10	0
Housing Building Maintenance	0	0	25	0	0
Housing Maintenance	20	0	0	20	0
Housing Management	0	0	10	0	0
Housing Rents	15	15	15	15	15
Sale of Council Houses	0	10	0	0	0
Democratic & Development Services	0	0	10	0	0
Elections	0	0	20	0	0
Members Payments and Allowances / Travel and Subsistence	40	0	0	0	40
Trading Standards & Licensing	30	0	0	30	0
Change Control	0	4	4	4	4
ICT Backup & Recovery / Disaster Recovery	15	0	0	15	0
Internet	10	0	0	0	10
Website/Intranet	10	0	0	0	10
Network Management	0	10	0	0	0
Remote Access	0	10	0	0	0

Server Operating Systems	5	5	5	5	5
ICT Project Management	0	10	0	0	0
Outlook/Email	10	0	0	0	10
Environmental Controls	10	0	0	0	10
Desktop Management	0	0	0	10	0
Virtualisation	6	6	6	6	6
Active Directory	6	6	6	6	6
Hardware Controls	0	10	0	0	0
Software Controls	0	0	10	0	0
Firewalls	0	4	4	4	4
Cloud Computing	5	5	5	5	5
Payroll & Absence Recording	26	26	26	26	26
Debtors	18	18	18	18	18
Creditors	20	20	20	20	20
Officer Payments - Mileage	8	8	8	8	8
Pension Payments/Early Retirement	0	10	0	10	0
Design & Print Service	0	0	5	0	0
Bank Reconciliation	12	12	12	12	12
Recruitment Services	6	6	6	6	6
Xentrall Governance	0	0	0	4	0
Client Financial Services	12	12	12	12	12
Personal Budgets & Direct Payments	11	11	11	11	11
Day Centres, Residential & Supported Living	0	0	30	0	0
Independent Living	0	0	35	0	0
Adult Safeguarding	0	20	0	20	0
Referral & Assessment - Adults	12	12	12	12	12
Better Care Fund	20	20	20	20	20
Independent Sector Assessment & Payments	0	15	0	15	0
Physical Disability & Sensory Impairment	0	0	0	0	10
Mental Health	0	0	0	15	0
Environmental Health	6	6	6	6	6
Fuel Poverty Reduction	0	7	0	0	0
Communications Unit	0	15	0	0	0
Declaration of Interests/Gifts and Hospitality	0	20	0	0	0
Scheme of Delegation	0	15	0	0	0
DBS Procedures	0	6	6	6	6
Voluntary Sector	0	10	0	0	0
Absence Management	10	10	10	10	10
Workforce Development	0	12	0	0	0
Employee Therapy Services	0	7	0	0	0
Land Charges	6	6	6	6	6
Legal Charges & Court Costs	0	0	15	0	0
Employee Benefits	0	10	0	0	0
Customer Services	0	25	0	0	25
Libraries	25	0	0	25	0
Parks & Countryside	0	0	15	0	0

* - Adjusted to take account of maternity leave 17/18